OPEN ACCESS POLICY

The Dunhill Medical Trust is a member of a consortium of biomedical and health research funders that contribute to the funding of Europe PubMed Central (Europe PMC), with the intention of ensuring that the complete versions of publications derived from DMT-funded research are freely available. (see https://europepmc.org/Funders/ for guiding principles).

The Europe PMC service will ensure that papers deposited are freely available, fully searchable and extensively linked to other online resources for the benefit of researchers funded by The Dunhill Medical Trust. It will also enable DMT to have full access to details of publications arising from the work it funds.

1. It is a condition of all research and research-related grants funded by DMT that the Trust is informed when a manuscript is accepted for publication and that the Trust’s support is appropriately acknowledged. A copy of the final manuscript of all peer-reviewed papers supported in whole or in part by a DMT grant must be deposited in an open access archive such as PubMed Central (PMC) or Europe PMC, to be made freely available as soon as possible and within six months of publication at the latest. Grant holders are also required to link publications to their DMT grant in Europe PMC.

2. Publications resulting from DMT-funded research may appear via the gold⁴ or green⁵ open access (OA) route.

3. DMT encourages authors and publishers to license publications using the Creative Commons Attribution licence (CC-BY)⁶ so that they may be freely copied and reused (e.g., for text or data mining purposes), provided that such uses are fully attributed. In cases where DMT has provided funding for open access fees, a CC-BY licence is a requirement.

4. Where required to ensure open access, DMT will provide the names and contact details of grant holders to third parties in connection with the administration of the Europe PMC database and website, and they may contact grant holders directly for such purposes.

notes:

1. **Gold OA** allows scholarly articles to be available immediately upon publication at the publisher’s site. Full OA journals can either charge APCs to obtain revenue, can be subscription journals making the electronic version only OA, or can be electronic only journals which are free both for authors and readers and the resources for publication are provided by other means. Hybrid OA articles can also be seen as a form of gold OA.

2. **Green OA** is a complement to gold OA. A version of the manuscript, often not the final published version of the article, is made freely available. The term ‘green OA’ is often used as a synonym for self-archived OA, though in some cases the publisher archives the green copy.

3. **Creative Commons licences** is a set of common licences that are widely used to regulate electronic use of copyrighted material. These licences are widely used for OA journals and provide options for specifying what types of uses are allowed. The CC-BY licence, which only requires appropriate attribution, is widely used by APC-funded OA journals and is required by many funding agencies.

Definitions from: Developing an Effective Market for Open Access Article Processing Charges Björk B & Solomon D; March 2014
5. Most journals will deposit the article on behalf of the authors directly into PMC or Europe PMC, to become available on publication, or after an embargo period. In some cases, journals will not deposit the article, but will allow the authors to deposit a copy themselves via an author manuscript submission system. Information on author submission to Europe PMC is available through: http://www.europepmc.org

6. All DMT grant holders submitting manuscripts to journals should first check whether the publisher supports open access and how they can comply with paragraph (1) above. Useful information on which publishers are compliant with DMT's open access policy can be found on the SHERPA/RoMEO website at http://www.sherpa.ac.uk/romeo.php.

7. DMT recognises that when submitting an application it is difficult to estimate the number of papers that might result from a research project. If the project results in more papers than expected grant holders are required, in the first instance, to use any grant underspend to cover the OA fees levied by publishers. In instances where there is no underspend or a grant has exceptional results DMT may consider providing additional support via its OA contribution fund.

8. In order to access this fund, the grant holder will be required to provide:
   (i) evidence that the publication has been accepted by a publisher; and
   (ii) an invoice (or similar) from the publisher confirming the Article Processing Charge (APC) payable.

Fees payable in currencies other than sterling will require proof from the host institution of the amount in sterling paid to the publisher.

Further information including how to apply can be found here.

9. Where the research has been supported by more than one funder, DMT will only pay a percentage of the APC in line with the percentage of the funds that have been provided by DMT to support the research.

Specific enquiries regarding DMT's open access policy should be emailed to: admin@dunhillmedical.org.uk